

# CTC, SB 1 IMPLEMENTATION DRAFT GUIDELINES

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Wednesday, July 12, 2017 10am-11:30am



# Agenda

- Introduction
- California Transportation Commission Draft Guidelines
  - [The Road Repair and Accountability Act of 2017 – Local Streets and Roads Funding Draft Guidelines](#)
- Questions & Answers
- Wrap-Up

# How to Ask a Question

- All phone lines have been muted
- For written questions – use the Q&A window to the right side of your screen. Please enter your name, title and city
- For verbal questions, raise your hand by clicking on the icon



# INTRODUCTION

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# Speakers

- Randy Breault – City of Brisbane
- Rony Berdugo – Transportation Lobbyist, LOCC
- Derek Dolfie – Transportation Policy Analyst, LOCC

# Funding Estimates for Cities FY 2017-18 & 2018-19

- [Local Streets and Roads Funding: FY 2017-18 \(Updated\)](#)
- [Local Streets and Roads Funding: FY 2018-19 \(Updated\)](#)
- [Californiacityfinance.com](http://Californiacityfinance.com)

# CALIFORNIA TRANSPORTATION COMMISSION DRAFT GUIDELINES

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# Submitting Formal Comments to CTC

- Please submit your city's formal comments to Associate Deputy Director/Program Manager, Laura Pennybaker by **Friday, July 14<sup>th</sup>**
- [Laura.Pennebaker@dot.ca.gov](mailto:Laura.Pennebaker@dot.ca.gov)



# Section I: Introduction

1. Background and Purpose of Reporting Guidelines
2. Program Objectives and Statutory Requirements
3. Program Roles
  - Cities will develop and submit a list of projects to the Commission each fiscal year
4. Program Schedule
  - Call for Project Lists: August 18<sup>th</sup> 2017
  - Project Lists due to Commission: September 15<sup>th</sup> 2017

# Section II: Funding

## 5. Source

## 6. Disbursement of Funds

- Eligible cities and counties will receive monthly Road Maintenance and Rehabilitation Account (RMRA) apportionments from the State Controllers Office (SCO)
- SCO has indicated apportionments will begin in mid-late January 2018

# Example of Estimated RMRA Funds

ATTACHMENT B

## Local Streets and Roads - Projected FY2017-18 Revenues

Based on State Dept of Finance statewide revenue projections as of May 2017

Estimated 11 May 2017

	Highway Users Tax Acct (HUTA) <sup>(1)</sup> Streets & Highways Code					<sup>new</sup> Loan	TOTAL	<sup>new</sup> Road Maintnc	TOTAL
	Sec2103 <sup>(6)</sup>	Sec2105 <sup>(3)</sup>	Sec2106 <sup>(3)</sup>	Sec2107 <sup>(3)</sup>	Sec2107.5 <sup>(4)</sup>	Repayment <sup>(6)</sup>	HUTA	Rehab Acct <sup>(7)</sup>	
<b>ALAMEDA COUNTY</b>									
ALAMEDA	350,143	471,542	289,726	609,609	7,500	90,708	1,819,228	447,922	2,267,150
ALBANY	83,445	112,376	72,703	145,280	4,000	21,617	439,420	106,747	546,168
BERKELEY	529,629	713,258	435,781	922,100	10,000	137,206	2,747,973	677,531	3,425,504
DUBLIN	253,293	341,113	210,916	440,991	7,500	65,618	1,319,432	324,027	1,643,459
EMERYVILLE	51,768	69,717	46,926	90,130	3,000	13,411	274,952	66,225	341,177
FREMONT	1,012,856	1,364,025	829,004	1,763,412	10,000	262,391	5,241,687	1,295,702	6,537,389
HAYWARD	702,189	945,647	576,201	1,222,533	10,000	181,909	3,638,479	898,280	4,536,759
LIVERMORE	389,279	524,247	321,573	677,747	7,500	100,847	2,021,193	497,988	2,519,181
NEWARK	197,572	266,073	165,573	343,979	6,000	51,183	1,030,381	252,746	1,283,126
OAKLAND	1,867,629	2,515,158	1,524,569	3,251,598	10,000	483,828	9,652,782	2,389,176	12,041,958
PIEDMONT	49,551	66,731	45,122	86,270	3,000	12,837	263,510	63,388	326,898
PLEASANTON	331,173	445,995	274,290	576,582	7,500	85,794	1,721,334	423,655	2,144,989
SAN LEANDRO	387,345	521,642	319,999	674,379	7,500	100,346	2,011,210	495,513	2,506,723
UNION CITY	322,207	433,920	266,994	560,972	7,500	83,471	1,675,065	412,186	2,087,250

- City of Fremont is estimated to receive \$1,295,702 in RMRA funds in FY 2017-18
- This is a separate revenue source from HUTA
- Total column includes both the HUTA and RMRA

# Section III: Eligibility and Program Priorities

7. Eligible Recipients
8. Program Priorities and Example Projects

# Section IV: Project List Submittal (1)

## 9. Content and Format of Project List

- Capital Improvement Plans (CIP) can be used to generate project lists if they are an appropriating part of your budget
- You do not need to submit your entire budget to verify, just the appropriate excerpts
- Cities must make a good faith effort to document the project description that contains “a minimum level of detail needed for the public to understand what is being done and why it is a critical or high-priority need.” This includes the location of projects
- A standard excel format for project submittal is being developed by the CTC, and will be released mid-August

# Section IV: Project List Submittal (2)

## 10. Process and Schedule for Project List Submittal

- Project lists are due to the CTC by September 15<sup>th</sup> 2017
- League staff has been working with CTC staff to push back this date. Will keep cities informed

## 11. Commission Submittal of Eligible Entities to the State Controller's Office

- CTC, SCO, CSAC and the League are all working together to explore options for cities or counties who do not submit their project lists on time
- Currently, the SCO does not have the statutory authority to hold funds

# Section V: Project Expenditure Reporting and Auditing (1)

## 12. Scope of Completed Project Expenditure Report

- The completed project expenditure report must cover the full fiscal year and should include projects that have completed construction and are fully operational
- League staff has discussed including phases of projects in this list, however, statute states “completed” projects.
- Cities will have the option to include qualitative data that is pertinent to project description, necessity, or success

## 13. Process and Schedule for Project Report Submittal

## 14. Commission Reporting of Project Information Received

# Section V: Project Expenditure Reporting and Auditing (2)

## 15. State Controller Expenditure Reporting and Maintenance of Effort Monitoring

- SCO is still updating their guidelines, but will be consist with CTC guidelines

## 16. Workforce Development Requirements and Project Signage

- Cities are encouraged to include project signage for RMRA funded projects, where feasible and cost-effective
- This is to help show the public where and how SB 1 monies are being spent



# Appendix A - C

- Local Streets and Roads Project List Form
- Local Streets and Roads Completed Project Expenditure Report Form
  - Can include multi-year projects
- Local Streets and Roads Program Schedule

# CTC Guidelines - FAQ

- How should cities reflect/describe RMRA funds in their budgets?
- Can cities use RMRA funds in conjunction with other funds for a project?
- How long do cities have to expend funds once they are allocated?
- Can cities save up RMRA funds for larger projects?
- For Maintenance of Effort – what revenues qualify as “one-time allocations” that are excluded?
- Can RMRA funds be used for project design and planning? Buying equipment? Road Crew salaries?

# QUESTIONS & ANSWERS

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Questions About the CTC Guidelines or SB 1 in General

# WRAP UP

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# Helpful Links

- For MOE calculations:
  - [State Controller's Office Website](#)
- City Finance and Estimated RMRA Revenues
  - [Local Streets and Roads Funding for Cities: FY 2017-18 \(Updated\)](#)
  - [Local Streets and Roads Funding for Cities: FY 2018-19 \(Updated\)](#)
  - [Californiacityfinance.com](#)
- California Transportation Commission Draft Guidelines
  - [The Road Repair and Accountability Act of 2017 – Local Streets and Roads Funding Draft Guidelines](#)

# Thank you!

Please contact  
Rony Berdugo or  
Derek Dolfie for  
additional  
questions

